

ONSHORE FUNDS

Dealing Procedure

(updated on 15 Oct 2024)

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1. INTRODUCTION

- 1.1. This Dealing Procedure serves to outline operation guide for an authorized distributor (the “Distributor”) of Allianz Global Investors Singapore Limited (“AllianzGI SG”). It intends to clarify the roles & responsibilities and the procedure flows for the relevant parties. Although the procedures contained in this Dealing Procedure are the objectives that the relevant parties expect to achieve, they are not legally binding obligations of any parties. In the event of conflict or inconsistency between this Dealing Procedure & the relevant agreement between the parties, the relevant agreement shall prevail.
- 1.2. The content of this Dealing Procedure is subject to review and amendment by AllianzGI SG from time to time without prior notice to the Distributor. A revised Dealing Procedure will be sent to the Distributor as soon as practicable.
- 1.3. This Dealing Procedure covers transactions for the AllianzGI Funds listed in Appendix A which are managed by Allianz Global Investors Singapore Limited (“AllianzGI SG”).
- 1.4. For the purpose of this Dealing Procedure, “Business Day” shall mean a day (excluding Saturday) on which commercial banks in Singapore are open for business.

2. INVESTMENT ACCOUNT

2.1. Account Opening Procedure

- 2.1.1 The Distributor is appointed by AllianzGI SG as an agent to distribute AllianzGI Funds to their customers and other persons.
- 2.1.2 The Distributor is responsible to implement procedures to verify the identity of their customers and to obtain supporting documents to comply with the applicable Know Your Clients procedure and anti-money laundering guidelines issued by the Monetary Authority of Singapore (“MAS”).
- 2.1.3 The Distributor shall forward the following documents to AllianzGI SG for account opening and retention. Where original documents are not submitted, the documents need to be certified as true copies by lawyers/accountants/chartered secretaries. Translated copy is required when it is not in English.
- 2.1.4
 1. Duly completed and signed Investment Account Opening and Application Form (the “IAOF”).
 2. Certificate of Incorporation for Companies or Business Registration Certificate for Non-Corporate businesses.
 3. Memorandum & Articles of Association.
 4. Directors’ Resolution authorizing the opening of the investment account with a list of authorized signatories and their specimen signatures for the account.

5. The identification documents of all directors/partners/persons having executive authority.
 6. Notwithstanding the above, AllianzGI SG may request for additional information necessary to comply with its internal policies as well as regulatory requirements.
- 2.1.5 AllianzGI SG shall send the signed IAOF and supporting documents to HSBC Institutional Trust Services (Singapore) Limited (the “Registrar”) of AllianzGI Funds for account opening and registration.

2.2. Investment Account Number

- 2.2.1 An investment account is usually opened within 5 Business Days upon receipt of properly executed IAOF and the relevant supporting documents by the Registrar.
- 2.2.2 AllianzGI SG shall notify the Distributor of the investment account number.
- 2.2.3 The investment account number shall appear on the confirmations, statements and or reports issued to the Distributor.
- 2.2.4 Any change to the registration details of the Distributor subsequent to the investment account being opened, must be notified in writing with relevant supporting documents to AllianzGI SG.

2.3. Foreign investors

- 2.3.1 AllianzGI Funds have not been registered under the United States Securities Act of 1933, and therefore applications for beneficial owners who are U.S. persons or persons with a U.S. mailing address cannot be accepted.

2.4. Investments Type

- 2.4.1 Where investors subscribe for units using Central Provident Fund (“CPF”) and Supplementary Retirement Scheme (“SRS”) monies, the applications for subscription, switching or redemption must be submitted in the name of that Individual investor.
- 2.4.2 The Distributor is not required to submit the original CPF Investment Scheme - Ordinary Account (“CPFIS-OA”), CPF Investment Scheme - Special Account (“CPFIS-SA”) and Supplementary Retirement Scheme (“SRS”) application forms to the Registrar for processing.

3. DEALING PROCEDURES

3.1. Order Placement

- 3.1.1 Placement of orders from Distributor to invest in AllianzGI Funds shall only be accepted with the account opened pursuant to section 2.
- 3.1.2 Telephone orders will not be accepted.
- 3.1.3 Subscription and Redemption/Switching applications may be submitted to the Registrar using the IAOF and General Application Form (“GAF”) respectively otherwise in an authorized written format (“Dealing Report”) containing all relevant information as set out below:
1. Account name and Investment Account Number;
 2. Name of AllianzGI Fund(s);
 3. Class of Shares invested/ to be invested, where applicable;
 4. Transaction type (Subscription, Redemption or Switch);
 5. Investment amount or units for each AllianzGI Fund;
 6. Discount or Commission, where applicable;
 7. Investment type (Cash, CPFIS-OA, CPFIS-SA or SRS) and
 8. Authorized signature(s) of the Distributor.
- 3.1.4 The following information shall also be included in the Dealing Report from Distributor for CPF or SRS Investment.
1. Full name of CPF or SRS investor;
 2. Corresponding address and contact details of CPF or SRS investor;
 3. Identification number of CPF or SRS investor;
 4. Name of CPF Agent Bank or SRS Operator and
 5. CPF or SRS investor’s investment account number with CPF Agent Bank of SRS Operator.
- 3.1.5 Order placement shall be sent to the Registrar before the Reporting Cut-Off Time set out in Appendix B on each Business Day for the relevant dealing day. The original Dealing Report need not be sent to the Registrar.
- 3.1.6 The Distributor shall ensure completeness of all required information necessary for the Registrar to process the orders otherwise the orders will be rejected.
- 3.1.7 The Registrar shall notify the Distributor of the rejection of orders within an hour from receipt or as soon as practical.
- 3.1.8 Where there is any error or cancellation of the order placement, the Distributor has to inform the Registrar before the Reporting Cut-Off Time of the relevant dealing day. Failing which adjustments, backdating of transactions or cancellation will be prohibited.
- 3.1.9 In case dealing orders need to be amended or cancel, the reason has to be explicitly indicated in the orders to the Registrar.
1. **To cancel order:** To re-send dealing orders with the word “**Cancellation**”
 2. **To amend order:** All amendments on the order form must be counter-signed by the authorized person(s) and to indicate “**Avoid Duplication**”
- 3.1.10 The Distributor shall contact the Registrar in case of non receipt of faxed order acknowledgement within an hour.
- 3.1.11 Unless otherwise instructed, the Distributor shall provide nil trade reporting when there is no transaction for the day.

3.2. Non Dealing Day

- 3.2.1. Unless otherwise advised, non-dealing day calendar is posted on the official website of AllianzGI SG at: <http://www.allianzgi.sg>.
- 3.2.2 Distributor may request for a projected non-dealing days of each AllianzGI Fund for a calendar year from AllianzGI SG.
- 3.2.3 Note that the projected non dealing days are only correct at the point of advice and may subject to change without prior notification.

3.3. Finalised AllianzGI Fund Prices

- 3.3.1 The Distributor may request for the daily prices of the AllianzGI Funds where available.
- 3.3.2 The price of AllianzGI Fund is broadcast daily via email and usually by 3:00 pm, Singapore time.
- 3.3.3 Other pricing sources of AllianzGI Funds:
 - 1. Reuters
 - 2. Bloomberg
 - 3. <http://www.allianzgi.sg>

3.4. Order Confirmation Report and Confirmation Notice

- 3.4.1 The Registrar shall send the T Day Order Confirmation Report to the Distributor by fax by 10:00 am on T+2 Business Days.
- 3.4.2 A Confirmation Notice on the orders shall be sent by ordinary mail to the Distributor and CPFIS/ SRS investors, usually on T+3 Business Days.
- 3.4.3 The Confirmation only acknowledges receipt of dealing orders and not an allotment of units which will only take place upon receipt of cleared funds by the Registrar.
- 3.4.4 Where AllianzGI SG exercises its discretion to refuse an application for subscription, switching or transfer of units, AllianzGI SG shall inform the relevant Distributor of its refusal on the Business Day immediately following T day.
- 3.4.5 The unit prices in the base currency for all transaction computation shall be set out in the Order Confirmation Report and Confirmation Notice.

4. SUBSCRIPTION SETTLEMENTS

4.1. General Procedure

- 4.1.1 Partial settlement of subscription monies or netting off of subscription and redemption transactions will not be accepted.
- 4.1.2 Payment must be settled in full or after net of front end load.
- 4.1.3 Payment for subscriptions for Units in SGD Class of a Sub-Fund should be made in Singapore dollars while payment for subscriptions for Units in USD Class of a Sub-Fund should be made in US dollars.
- 4.1.4 The Registrar shall send a Daily Subscription Settlement Report for Agent by fax to advise the Distributor within 2 Business Days after the settlement date of the subscription.

4.2. Settlement of subscriptions by Telegraphic Transfer (“TT”)

- 4.2.1 Payments for subscriptions shall be made to the bank account detailed in Appendix C.
- 4.2.2 Cleared funds must be received on or before the settlement cycle set out in Appendix B.
- 4.2.3 The Distributor shall instruct their remitting bank to pay in an appropriate electronic instruction format such that the beneficiary will receive the funds with good value. (E.g. SWIFT payment message type should include MT103 and MT202).
- 4.2.4 Any overdraft interest resulting in delayed subscription settlement shall be borne by the defaulting party.
- 4.2.5 Any bank charges in respect of the TT shall be borne by the Distributor.
- 4.2.6 The Registrar may request a copy of the TT advice from the Distributor.

4.3. Settlement of subscriptions by cheque or bank draft

- 4.3.1 Partial settlement of subscription monies or netting off of subscription and redemption transactions will not be accepted.
- 4.3.2 Cheque or bank draft shall be made payable to the “Name of Beneficiary” of the respective AllianzGI Fund stated in Appendix C.
- 4.3.3 SGD cheque or bank draft must be drawn on a bank in Singapore

4.4. Settlement of subscriptions by CPF or SRS monies

- 4.4.1 The Registrar shall complete the necessary application to the relevant CPF Agent or CPF Board or SRS Operator appointed by the respective investor for the settlement of subscription monies within T+3 days.
- 4.4.2 Generally the payment of subscription monies from the CPF Agent or CPF Board or SRS Operator to AllianzGI Fund is settled within T+5 days.

5. REDEMPTION SETTLEMENTS

5.1. General Procedure

- 5.1.1 Third party payee settlement is prohibited.
- 5.1.2 In accordance to the Code on Collective Investment Schemes issued by MAS, redemption monies must be paid to the investors within T+6 days for Equity Fund and T+7 for Feeder Fund.
- 5.1.3 The Registrar shall send a Daily Redemption Settlement Report for Agent by fax to advise the Distributor within 2 Business Days after the settlement date of the redemption.

5.2. Settlement of Redemption for Cash Investment

- 5.2.1. The Registrar shall pay redemption proceeds to the Distributor on the settlement day as set out in Appendix B.
- 5.2.2. The Registrar shall settle redemption proceeds to the Distributor according to their authorized settlement instruction in the IAOF unless authorized otherwise in writing.

5.3. Settlement of Redemption for CPF or SRS Investment

- 5.3.1 Redemption proceeds in respect of all T day orders shall be paid to the CPF Agent and CPF Board or SRS Operator within T+6 days for Equity Fund and T+7 for Feeder Fund as per the Settlement Cycle set out in Appendix B.
- 5.3.2 In the event that the investment account has already been closed, the Registrar shall contact the relevant Distributor immediately upon receipt of such notification.

6. SWITCHING SETTLEMENTS

6.1. General Procedure

6.1.1 Switches are not permitted

6.1.1.1 between AllianzGI Funds and other Singapore Recognised Funds

6.1.1.2 between AllianzGI Funds which would result in the Investors holding fewer units in either or both AllianzGI Funds than the relevant minimum holding for the AllianzGI Funds.

6.1.2 Switches between AllianzGI Funds are processed in a similar manner as subscriptions and redemptions.

6.1.2.1 The same Dealing Day shall apply between AllianzGI Funds (as defined in Appendix A) provided both the subscription and redemption dates are a Dealing Day of the respective AllianzGI Fund (as defined in Appendix A). Otherwise the Dealing Day between the subscription and redemption may differ. In the latter case, the next Dealing Day will apply to the subscription.

6.1.3 Applicable switching fee shall be charged from the switch in amount.

6.1.4 Section 6.1.1 is not applicable to Free Switches offered and initiated by AllianzGI SG. E.g. Unitholder of an AllianzGI Fund who has chosen to accept the free switch to another AllianzGI Fund, does not have to comply with the minimum holding requirement in the switch-in AllianzGI Fund.

6.1.5 Switching between CPF Fund is allowed only for the client same type CPF account.

7. FAILED SETTLEMENT

7.1. Cash Investment

- 7.1.1 The Registrar shall inform the Distributor by email immediately in case of failed receipt of subscription payment within 1 business day by 12:00 noon after the settlement date.
- 7.1.2 The Distributor and the Registrar shall follow up with their remitting bank immediately to avoid delay in settlement, otherwise, any overdraft interest as a consequent of such delay shall be borne by the defaulting party.

7.2. CPF and SRS Investment

- 7.2.1 The Registrar shall inform the Distributor immediately for follow up action with their customer if his/her application is rejected by the CPF Agent Bank, CPF Board or SRS Operator due to incorrect account information or non receipt of standing settlement instruction from the investor by the CPF Agent Bank for the case of CPFIS-OA investment.
- 7.2.2 The Distributor shall advise the Registrar on the follow up action as soon as practical and within 3 Business Days from the date of such advice from the Registrar. Failing which the order may be rejected by AllianzGI SG.
- 7.2.3 If subscription settlement is rejected by the relevant CPF Board, CPF Agent Bank or SRS Operator due to **insufficient funds** in the investor's account, the subscription will be cancelled.
- 7.2.4 The Registrar shall issue a written notification to the Distributor within 3 Business days from the date of rejection notice from the relevant CPF Agent Bank or SRS Operator.
- 7.2.5 AllianzGI SG may reject any new subscription from an investor using CPF/SRS monies if the investor had subscription applications which were rejected due to insufficient fund by CPF Board, CPF Agent Bank or SRS Operator more than twice in a calendar year.

8. Regular Savings Plan

- 8.1.1 Subject to the approved investment type of the respective AllianzGI Fund set out Appendix A, Regular Savings Plan (“RSP”) may be subscribed through Cash, CPF and or SRS investment settlement.
- 8.1.2 RSP Investors must meet the minimum sum criteria set out in the prospectus of the respective AllianzGI Fund to be eligible for such scheme.
- 8.1.3 A new investor can exercise his right to cancel his RSP within 7 calendar days of the date on which he signed the subscription agreement which included the RSP application.
- 8.1.4 The Distributor shall send all RSP Investors application and termination requests to the Registrar.
- 8.1.5 The Registrar shall send written confirmation of RSP application and termination to the RSP Investors.
- 8.1.6 Such confirmations shall be sent within 3 Business Days or as soon as the RSP monthly deduction is approved by the RSP Investor’s bank for the case of Cash RSP application.
- 8.1.7 A RSP Investor may cease his RSP participation without penalty by giving not less than 30 days’ prior notice in writing to the Registrar.
- 8.1.8 The subscription dealing date for respective AllianzGI Fund is set out in Appendix A.
- 8.1.9 If the subscription date falls on a Non-Dealing day, the RSP shall be subscribed on the next Dealing day.
- 8.1.10 RSP investor shall receive a Confirmation Notice on RSP by ordinary mail from the Registrar within 3 Business Days from the date of subscription.
- 8.1.11 In the event of failed payments for 2 consecutive months, the Registrar shall terminate the RSP under advice to the Distributor.
- 8.1.12 RSP Investors must complete a Direct Debit Authorization (“DDA”) authoring the payment for RSP and submit the DDA form.
- 8.1.13 The monthly contribution for the RSP shall be deducted (from the RSP Investor’s designated bank account, CPFIS, or SRS account as the case may be) as authorized in the DDA form and the application form.
- 8.1.14 Unsuccessful cash deduction from the Investor’s bank account will not be taken into the month’s RSP.
- 8.1.15 The monthly cash deduction date for the respective AllianzGI Fund is set out in Appendix A.

9. INCOME DISTRIBUTION

9.1. General Procedure

- 9.1.1 AllianzGI Funds with or without income distribution can be referred to in Appendix A.
- 9.1.2 Income distribution option for reinvestment of units or cash payment is available for the applicable AllianzGI Funds.
- 9.1.3 The Distributor may indicate their income distribution option in the IAOF otherwise the income distribution shall be reinvested in units.
- 9.1.4 The Distributor may request for units balance from the Registrar for the purpose of their holding reconciliation before income distribution date.
- 9.1.5 The Distributor may request AllianzGI SG to be notified by email on the proposed income distribution which is unusually announced prior to Record Date.
- 9.1.6 The Registrar shall issue tax voucher in accordance to the IRAS requirement and to the Distributor and their CPF/SRS investors on the Income Distribution Payment Date.
- 9.1.7 Unless otherwise agreed, payment by cheque shall be issued to the Distributor who has opted for cash income on the Income Distribution Payment Date.
- 9.1.8 Payment shall be made in the respective base currency of the AllianzGI Fund.
- 9.1.9 The NAV price applicable for reinvestment of income shall be the dealing day which is also the Income Distribution Payment Date. If this day falls on a non-dealing day, the NAV price on the next dealing day shall be the Reinvestment Date.
- 9.1.10 Income entitlements shall be reflected in the Statement of Transaction to the Distributor and their CPF/SRS investors.
- 9.1.11 For the purpose of clarification, the below set out the definition of the date used in relation to Income Distribution:

Allianz Global High Payout Fund

Record Date:	Fifteenth (15 th) calendar day of each month in which the total units held by the registered unitholder of the relevant AllianzGI Fund is entitled to the Income Distribution. If the Fifteenth (15 th) calendar day is not a Business Day, the distribution date shall be the next Business Day.
Ex-Date:	The 1st Business Day after the Record Date. Provision of income distribution accounted for unitholder registered as at Record Date.
Reinvestment Date:	Usually falls on the 8th Business Day after the Record Date. Income is reinvested into units of the relevant AllianzGI Fund.
Payment Date:	Usually falls on the 8th Business Day after the Record Date. Income is paid to the relevant unitholders.

10. TRANSFER OF HOLDINGS

10.1. General Transfer

- 10.1.1 An investor who has purchased AllianzGI Funds using SRS and CPF monies cannot make any transfer of holdings to a third party.
- 10.1.2 No transfer of units may be made which would result in an investor holding fewer units in the AllianzGI Fund than the relevant minimum holding for the AllianzGI Funds.
- 10.1.3 The original and duly executed transfer request signed by the transferee and transferor must be sent to the Registrar before the transfer can be effected.
- 10.1.4 If the transferee is not a registered investor in the Registrar's record, the following documents must be submitted with the transfer request.
 - 10.1.4.1 In the case of a transferee who is an individual;
 - 1. Original IAOF must be duly completed and signed by the individual.
 - 2. A copy of the individual's NRIC or passport.
 - 3. If an account is opened and operated on behalf of or for the benefit of other persons or corporations, the investor must provide details of the beneficial owners in the IAOF with a copy of the identification documents of the beneficial owners.
 - 10.1.4.2 If the transferee is a company, a copy of each of the following supporting documents which must be duly certified as true copies by lawyers/accountants/chartered secretaries. Translated copy is required when it is not in English;
 - 1. Duly completed and signed IAOF.
 - 2. Certificate of Incorporation for Companies or Business Registration Certificate for Non-Corporate businesses.
 - 3. Memorandum & Articles of Association.
 - 4. Directors' Resolution authorizing the opening of the investment account with a list of authorized signatories and their specimen signatures for the account.
 - 5. The identification documents of all directors/partners/persons having executive authority where the corporation is not a financial institution supervised by MAS.
- 10.1.5 If there are any discrepancies, the Registrar shall inform the Distributor as soon as practical but not more than 2 Business Days from the date of receipt.
- 10.1.6 The effective date of transfer shall be the same date as the receipt of properly executed transfer request.
- 10.1.7 The effective date of units transferred shall be shown in the monthly Statement of Transaction to both the transferor and transferee.
- 10.1.8 The Registrar shall send confirmation of effective transfer holding to both the transferor and transferee to their registered/mailling address within 3 Business Days from the receipt of proper transfer instruction.

10.2. Transfer Under CPF Section 15

- 10.2.1 Transfer under CPF Section 15 is only applicable to investor who subscribed for AllianzGI Funds using CPF monies and has subsequently attained the age of 55.
- 10.2.2 A notification letter from the CPF Agent Bank/CPF Board is usually sent to the investor and a copy of such letter to the Registrar.
- 10.2.3 Upon receipt of Transfer notification under CPF section 15, the Registrar shall transfer the AllianzGI Fund from CPF Agent Bank/CPF Board to the investor's account.
- 10.2.4 The effective date of the transfer of units shall be the date on the agent bank's letter of notification, which is the date that CPF investment account with the agent bank is closed.
- 10.2.5 The Registrar shall notify the Distributor on the transfer.
- 10.2.6 Where the investor decides to transfer his unit holdings to the Distributor's omnibus cash investment account, a transfer request requirement set out under Section 10.1 must be submitted to the Registrar.
- 10.2.7 Where an investor chooses to terminate the Distributor as their agent, the investor is required to submit a duly completed IAOF with a copy of their identification to the Registrar.
- 10.2.8 Where applicable, the Distributor shall continue to be entitled to the trailer fees on the units transferred under CPF Section 15 until the investors chose to terminate the Distributor as their agent.

10.3. Deceased Account

- 10.3.1 The Executor and Trustee of the deceased shall forward the certified true copies of the following documents to the Registrar for re-registration of investment account and retention.
 - 1. Grant of Probate
 - 2. Letter from Administrator
 - 3. Death Certificate
 - 4. Certificate of Incorporation and list of authorized signatories for the Executor and Trustee
 - 5. Copy of Identity Card for Singapore citizen/Permanent resident or the relevant pages on the Passport for non-Singapore citizen/Permanent resident individual executor
- 10.3.2 The Registrar shall change the registered name of the deceased to the Estate of the Deceased upon proper receipt on the aforesaid documents.

11. SEVEN DAYS FREE LOOK UP PERIOD

- 11.1 All Units cancellation shall comply with the “Notice on Cancellation Period of Collective Investment Schemes Constituted as Unit Trust”, which may change from time to time.
- 11.2 Such request from the Distributor must be accompanied with the Cancellation Request Form from the investor.
- 11.3 The Cut off time for receipt of the cancellation form is per the relevant AllianzGI Fund Dealing cut off time.
- 11.4 Cancellation orders received by Distributor shall be sent to the Registrar before the Reporting deadline of the respective AllianzGI Fund set out in Appendix A. If the cancellation request is received after the Reporting cut off time, the cancellation shall be processed on the next dealing day.
- 11.5 The Distributor shall ensure completeness of application before submission to the Registrar.
- 11.6 No switching between AllianzGI Funds is allowed during the 7-day cancellation period.
- 11.7 For nominee holdings, it is the responsibility of the Distributor to determine the eligibility to cancel.
- 11.8 The Registrar shall send a Cancellation Report for Agent by fax on T+2.
- 11.9 A Confirmation Notice for Unitholder – 7 Day Cancellation shall be sent by post within 5 Business days from the date of cancellation.
- 11.10 Methodology in determining the cancellation price;
 - 1. For NAV pricing AllianzGI Funds
 - If current NAV price > original NAV price, cancellation price = original NAV price
 - If current NAV price < original NAV price, cancellation price = current NAV price
- 11.11 For CPF/SRS transactions, if the submission for withdrawal of monies has already been initiated, the refund can only be completed upon receipt of the subscription proceeds.
- 11.12 Refund of cancellation proceeds shall be in accordance to the normal redemption settlement cycle and without interest

12. REPORTS

12.1. Statement of Transaction

1. Statement of transaction shall be sent to investors on monthly basis by ordinary mail on the first 10 business days where there are transactions during reporting month.
2. Otherwise, statement of transaction shall be sent to investors on quarterly basis by ordinary mail on the first 10 business days.
3. The Distributor shall inform the Registrar immediately on any unit holding discrepancy.
4. The component of the statement shall include the following:
 1. Name of the AllianzGI Funds invested.
 2. Opening Balance of Units of investment in each AllianzGI Fund.
 3. Transactions during the month.
 4. Closing Balance of Units of investment in each AllianzGI Fund.
 5. Month-end market price per Unit of investment in each AllianzGI Fund.
 6. Month-end market value of the investment in each AllianzGI Fund.

12.2. Monthly Sales Commission Report

1. Where applicable, the Distributor shall receive a Sales Commission Report by mail from the Registrar within the first 10 Business Days after each month end.
2. The component of the report shall include the following:
 1. Name of AllianzGI Fund
 2. Name and Account number Distributor
 3. Investment Type
 4. Subscription Amount
 5. Traded Currency
 6. Trade and Settlement Dates
 7. Settlement Type (Gross or Net)
 8. Applicable Commission Rate
 9. Commission Amount
3. Upon agreement to the sales commission computation in the report, the Distributor shall issue a Sales Commission Invoice to AllianzGI SG (Finance) on a monthly or quarterly basis as the case may be.
4. The total Sales Commission payable is based on settled transactions for the current month or quarter.
5. Payment of the commission shall be made in the currency of the respective AllianzGI Funds.
6. AllianzGI SG shall settle payment base on the following;
 - Invoice received on or prior to the 15th day of a calendar month : payment by the end of the same calendar month
 - Invoice received after 15th day of a calendar month : payment by the end of the following calendar month

13. AMENDMENTS TO THE DEALING PROCEDURE

- 13.1 This Dealing Procedure (including the Appendices) may be amended, modified or varied by AllianzGI SG in writing from time to time.

14. APPENDICES

APPENDIX A: ALLIANZGI FUNDS

APPENDIX B: DEALING CUT-OFF TIME AND SETTLEMENT CYCLE

APPENDIX C: SUBSCRIPTIONS SETTLEMENT ACCOUNT

APPENDIX D: ORDER REPORT (SAMPLE)

APPENDIX E: CONFIRMATION NOTICE (SAMPLE)

APPENDIX F: CONFIRMATION REPORT FOR AGENT (SAMPLE)

APPENDIX G: SETTLEMENT REPORT FOR AGENT (SAMPLE)

APPENDIX H: CANCELLATION REPORT FOR AGENT (SAMPLE)

APPENDIX I : STATEMENT OF TRANSACTIONS (SAMPLE)

APPENDIX J: CONTACTS (DISTRIBUTOR)

APPENDIX K: CONTACTS (ALLIANZGI SG & REGISTRAR)

APPENDIX A: ALLIANZGI FUNDS

Allianz Global Investors Premier Funds						Domicile: Singapore						
#	Fund Name	Currency	Share Class	Dividend Frequency	ISIN Code	Investment Type	Max FEL	Max BEL	No. of Decimal for NAV	Rounding Method	No. of Decimal for Units	Rounding Method
1	Allianz Global High Payout Fund	SGD	AM SGD	Monthly (Cash / Reinvest)	SG9999002224	Cash/SRS/CPFOA	5%	0%	3	Half Adjusted	2	Adjusted Downwards
2	Allianz Global High Payout Fund	USD	AM USD	Monthly (Cash / Reinvest)	SG9999002232	Cash/SRS/CPFOA	5%	0%	3	Half Adjusted	2	Adjusted Downwards
3	Allianz SGD Income Plus	SGD	AM SGD	Monthly (Cash / Reinvest)	SGXZ41872573	Cash/SRS	5%	0%	4	Adjusted Downwards	2	Adjusted Downwards
4	Allianz SGD Income Plus	SGD	AM2 SGD	Monthly (Cash / Reinvest)	SGXZ87745808	Cash/SRS	0%	2%	4	Adjusted Downwards	2	Adjusted Downwards
5	Allianz SGD Income Plus	USD	AM H2-USD	Monthly (Cash / Reinvest)	SGXZ70289723	Cash/SRS	5%	0%	4	Adjusted Downwards	2	Adjusted Downwards
6	Allianz SGD Income Plus	USD	AM2 H2-USD	Monthly (Cash / Reinvest)	SGXZ65680225	Cash/SRS	0%	2%	4	Adjusted Downwards	2	Adjusted Downwards

(Updated on 15 Oct 2024)

Note to APPENDIX A

1. Dealing Frequency: Daily
2. Financial Year End: 31 December
3. Business Day means any day other than Saturday, Sunday or a gazetted public holiday on which commercial banks in Singapore are generally open for business, or any other day as the Managers and the Trustee may agree in writing

(Updated on 30 Mar 2023)

APPENDIX B: DEALING CUT-OFF TIME AND SETTLEMENT CYCLE

Name of AllianzGI Fund	Dealing Cut-Off Time	Standard Subscription Settlement Cycle	Standard Redemption Settlement Cycle
Allianz Global Investors Premier Funds - Allianz Global High Payout Fund - CLASS AM SGD	5 pm Singapore Time	T+ 4 Singapore Business days	T+ 5 Singapore Business days
Allianz Global Investors Premier Funds - Allianz Global High Payout Fund - CLASS AM USD	5 pm Singapore Time	T+ 4 Singapore Business days Or T+ 3 Singapore Business days if T+ 4 is a non-US banking day	T+ 5 Singapore Business days Or T+ 6 Singapore Business days if T+ 5 is a non-US banking day
Allianz Global Investors Premier Funds - Allianz SGD Income Plus SGD Class	5 pm Singapore Time	T+ 3 Singapore Business days	T+ 5 Singapore Business days
Allianz Global Investors Premier Funds - Allianz SGD Income Plus USD Class	5 pm Singapore Time	T+ 3 Singapore Business days Or T+ 2 Singapore Business days if T+ 3 is a non-US banking day	T+ 5 Singapore Business days Or T+ 6 Singapore Business days if T+ 5 is a non-US banking day

(Updated on 15 Oct 2024)

APPENDIX C: SUBSCRIPTIONS SETTLEMENT ACCOUNT

Singapore Authorised Fund	Allianz Global Investors Premier Funds – Global High Payout Fund - Class AM SGD	Allianz Global Investors Premier Funds – Global High Payout Fund - Class AM USD
Currency of Settlement	SGD	USD
Agent Bank	N/A	HSBC Bank USA, New York Swift Address: MRMDUS33
Account No of Beneficiary Bank with Agent Bank	N/A	N/A
Beneficiary Bank	HSBC, Singapore (HSBCSGSG)	The HongKong and Shanghai Banking Corporation Ltd SWIFT Bic: HSBCHKHHGCC
A/c No of beneficiary with Beneficiary Bank	041-380601-011	848152922201
Name of Beneficiary	HTSG a/c GHPF	HTSG-AGI GLOBAL HIGH PAYOUT FUND
Unitholder Number	Unit holder Number mentioned under Section 2.3 of this Dealing Procedure	

Singapore Authorised Fund	Allianz Global Investors Premier Funds – Allianz SGD Income Plus SGD Class	Allianz Global Investors Premier Funds – Allianz SGD Income Plus USD Class
Currency of Settlement	SGD	USD
Agent Bank	N/A	HSBC Bank USA, New York Swift Address: MRMDUS33
Account No of Beneficiary Bank with Agent Bank	N/A	N/A
Beneficiary Bank	HSBCSGSG	HSBCSGSG
A/c No of beneficiary with Beneficiary Bank	041-380601-001	260-355433-180
Name of Beneficiary	HTSG A/C ASGI	HTSG A/C ASGI
Unitholder Number	Unit holder Number mentioned under Section 2.3 of this Dealing Procedure	

(Updated on 15 Oct 2024)

APPENDIX D: ORDER REPORT (SAMPLE)

DEALING ORDER REPORT					
To:	HSBC Fund Services (Singapore) Limited				
Fax no.	(+65) 6424 4733				
From	Distributor				
Investment account no	123456				
Dealing date	29-Nov-05				
Investment Type	CPF/ASPF/SRS				
Name of Fund	1) Investor Name	1) Agent/SRS Bank	1) Amount or	1) Address	1) CPF A/C no
ISIN No.	2) IC/Passport	2) CPF Invst A/c	No. of Units	2) Contact No.	2) Date of Birth
	3) Nationality	No/SRS No.	2) FEL or Discount		
Subscription					
Global High Payout – Class AM				1) 13 FG Garden Singapore	
SGD	1) James Tan	1) DBS Bank		88888	
SG9999002224	2) 12345678D	2) SRS No.	1) S\$10,000	2) HP: 97874086	
	3) Singapore	9999999	2) FEL : 1%	Off: 6476 8277	2) 02/11/78
Redemption					
Global Internet Fund				1) 6 Dunearn Road Singapore	
SG9999002257	1) Alice Bernie Ng	1) UOB		999999	
	2) 1547825A	2) CPF -OA No.		2) Res: 6271 9271	1) 1547825A
	3) Singapore	11111111-3	12,814.25 units	Off: 6827 8288	2) 08/08/75
Investment Type	CASH				
Name of Fund					
ISIN No.	Gross/Net Amount	No. of Units	FEL or Discount		
Subscription					
RCM Global Twin- Focus Fund					
USD Class	Gross:				
SG9999002505	USD 1,000.00		FEL : 3%		
Redemption					
Global Internet Fund					
SG9999002257	1,235.13 units				
Authorised Signatures of Distributor					

APPENDIX E: CONFIRMATION NOTICE (SAMPLE)

INVESTOR
ADDRESS 1
ADDRESS 2
COUNTRY

CONFIRMATION NOTICE

07-Feb-2006

Dear Sir / Madam,

We are pleased to confirm the following Transaction: **SUBSCRIPTION or REGULAR SAVING PLAN**

Fund / Fund Currency	ALLIANZ GLOBAL INVESTORS PREMIER FUNDS – GLOBAL HIGH PAYOUT – CLASS AM SGD
Investment Type	CPFOA
Registered Holder	PERUMALRAMANATHAN
Account No.	170000192
Transaction No.	022005223140000/2
Dealing Date	11-Aug-2005
No. of Units	905.14
Offer Price	SGD 1.1390
Sales Charge	SGD 49.06
Discount%	3.00%
Discount Amount	SGD 30.93
Transacted Price	SGD 1.1390
Investment Amount	SGD 1,000.00
Distributor	(Name of Distributor)
Remarks	

Please note that this is an acknowledgement of your purchase of the above fund until your cheque has cleared (for cash applications) or cleared funds have been received from your CPF Approved Bank (for CPF applications) or SRS Operator Bank (for SRS applications). In the event that cleared funds or completed documents are not received, your application for units in the above fund shall be deemed to be cancelled.

This is a computer-generated confirmation notice and requires no signature.

Allianz Global Investors Singapore Limited
79 Robinson Road
#09-03 Singapore 068897
Phone +65 63118000
Fax +65 63118099
www.AllianzGlobalInvestors.com.sg
Registration No. 199907169Z

DISTRIBUTOR
ADDRESS 1
ADDRESS 2
COUNTRY

CONFIRMATION NOTICE

07-Feb-2006

Dear Sir / Madam,

We are pleased to confirm the following transaction: **REDEMPTION**

Fund/ Fund Currency	ALLIANZ GLOBAL INVESTORS PREMIER FUNDS – GLOBAL HIGH PAYOUT – CLASS AM SGD
Investment Type	Cash
Registered Holder	DISTRIBUTOR
Account No.	170000749
Transaction No.	0320052291400001
Dealing Date	17-Aug-2005
No. of Units	4,900.00
Bid Price	SGD 1.0790
Charges	SGD 0.00
Transacted Price	SGD 1.0790
Redemption Proceeds	SGD 5,287.10
Distributor	(Name of DISTRIBUTOR)
Remarks	

This is a computer-generated confirmation notice and requires no signature.

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Fax +65 63118099
www.AllianzGlobalInvestors.com.sg
Registration No. 199907169Z

DISTRIBUTOR
ADDRESS 1
ADDRESS 2
COUNTRY

CONFIRMATION NOTICE

07-Feb-2006

Dear Sir / Madam,

We refer to the cancellation relating to your subscription into:

Fund/ Fund Currency	Allianz Global Investors Premier Funds –Global High Payout Fund – Class AM SGD
Investment Type	Cash
Registered Holder	DISTRIBUTOR
Account No.	170000749
Distributor	DISTRIBUTOR

Your original subscription details are as follows:

Dealing Date	Transaction Date	Units	Transaction Price	Amount
16 May 2005	020051370400022	1,012.7	SGD 0.938	SGD 1,000.00

Your cancellation details are as follows:

Dealing Date	Transaction Date	Units	Transaction Price	Amount
17 May 2005	0320051380400010	1,012.7	SGD 0.938	SGD 949.91

Add Sales Charge	SGD	50.00
Add Fund Rounding	SGD	0.09
Cancellation Proceeds	SGD	1,000.00
Cancellation Loss	SGD	0.00

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79 Robinson Road
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Fax +65 63118099
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Registration No. 199907169Z

DISTRIBUTOR
ADDRESS 1
ADDRESS 2
COUNTRY

CONFIRMATION NOTICE

21-Feb-2006

Dear Sir / Madam,

We are pleased to confirm the following transaction: **TRANSFER OF UNIT HOLDINGS**

Fund / Fund Currency	:	ALLIANZ GLOBAL INVESTORS PREMIER FUNDS- GLOBAL HIGH PAYOUT FUND CLASS AM SGD
Investment Type	:	Cash
Transferor Name	:	DISTRIBUTOR ABC
Transferor Account No.	:	140001305
Transferee Name	:	INVESTOR DEF
Transferee Account No.	:	140001308
Transaction No.	:	0520052241400001
Transfer Date	:	12-Aug-2005
Units Transferred	:	3,170.53
Transfer Fee	:	SGD 0.00
Transferor Distributor	:	DISTRIBUTOR ABC
Transferee Distributor	:	INVESTOR
Remarks	:	

Please notify us within 14 days from the date of this confirmation if you have reasons to believe that the transfer is not in order.

COPY TO:

INVESTOR
ADDRESS 1
ADDRESS 2
COUNTRY

This is a computer-generated confirmation notice and requires no signature.

Allianz Global Investors Singapore Limited
79 Robinson Road
#09-03 Singapore 068897
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Registration No. 199907169Z

INVESTOR DEF
ADDRESS 1
ADDRESS 2
COUNTRY

CONFIRMATION NOTICE

21-Feb-2006

Dear Sir / Madam,

We refer to the withdrawal of your **CPF FUNDS UNDER SECTION 15**.

Following the notification by your agent bank, **DBS**, that you have elected to withdraw your savings under CPF Section 15/ASPF Clause 18, we have effected the transfer from your CPF/ASPF Investment Scheme Account to your Cash Direct Account (140000168) with us.

The details of the transfer are as follow:

Fund / Fund Currency	:	ALLIANZ GLOBAL INVESTORS PREMIER FUNDS - GLOBAL HIGH PAYOUT-CLASS AM SGD
From Investment Type	:	CPFOA
Registered Holder	:	INVESTOR DEF
Account No.	:	140000168
Transaction No.	:	0520052231400001
Transfer Date	:	11-Aug-2005
Units Transferred	:	5,216.88
From Distributor	:	DISTRIBUTOR
To Distributor	:	DISTRIBUTOR
Remarks	:	

Please notify us within 14 days from the date of this confirmation if you have reasons to believe that the transfer is not in order.

This is a computer-generated confirmation notice and requires no signature.

Allianz Global Investors Singapore Limited
79 Robinson Road
#09-03 Singapore 068897
Phone +65 63118000
Fax +65 63118099
www.AllianzGlobalInvestors.com.sg
Registration No. 199907169Z

APPENDIX F: CONFIRMATION REPORT FOR AGENT (SAMPLE)

Subscription Confirmation Report for Agent

Price Date: 08-Sep-2005

Fund Manager: ALLIANZ GLOBAL INVESTORS SINGAPORE LTD

Trustee : HTSG

Agent Name: DISTIRBUTOR ABC

Fax No.:

Fund Code	Trx#	Holder Name	Units	Price	Amt Subscribed	Sales Chrg /Disc	Net Agent Comm	Net Amount	FX	Amount in Txn Ccy	Trx Type	Invst Type	Due Date
DIF	0220052511400001	DISTRIBUTOR ABC	179.94	1.1400	200.00	5.13	4.64	195.36	1.000	200.00	SIP	Cash	15-Sep-05
ALLIANZ GLOBAL INVESTORS PREMIER FUNDS-GLOBAL HIGH PAYOUT CLASS AM			179.94			5.13	4.64	195.36					

This is a computer-generated report and requires no signatures

Redemption Confirmation Report for Agent

Price Date: 08-Sep-2005

Fund Manager: ALLIANZ GLOBAL INVESTORS SINGAPORE LTD

Trustee : HTSG

Agent Name: DISTIRBUTOR ABC

Fax No.:

Fund Code	Trx#	Holder Name	Units	Price	Redemption Proceeds	Net Agent Comm	Charges	Txn Ccy	FX	Amount in Txn Ccy	Trx Type	Invt Type	Due Date
DIF	0320052511400003	DISTRIBUTOR ABC	15,601.99	0.3010	4,696.20	0.00	0.00	SGD	1.0000	4,696.20	RED	Cash	15-Sep-05
ALLIANZ GLOBAL INVESTORS PREMIER FUNDS-GLOBAL HIGH PAYOUT CLASS AM			15,601.99			0.00	0.00						

This is a computer-generated report and requires no signatures

APPENDIX G: SETTLEMENT REPORT FOR AGENT (SAMPLE)

Daily Subscription Settlement Report for Agent														
Payment Date: 22-Aug-2005														
Fund Manager:				ALLIANZ GLOBAL INVESTORS SINGAPORE LTD								Trustee : HTSG		
Agent Name:				DISTRIBUTOR								Fax No.:		
Price Date	Trx#	Pymt Mode	Holder Name	UH#	Units	Fund Ccy	Price	Amount Subscribed	Trx Type	Invt Type	Sett Basis	FX	Txn Ccy	Amount Received in Txn Ccy
Fund Code / Fund Name: DIF: ALLIANZ GLOBAL INVESTORS PREMIER FUNDS – GLOBAL HIGH PAYOUT CLASS AM														
23-Dec-04	0220043581400006	T	Distributor ABC	AGIS0001356F	6,069.90	SGD	0.2915	1,769.38	SUB	Cash	G	1.00000	SGD	1,769.38
Agent Total:			Count: 1		6,069.90		2,743.39							
This is a computer-generated report and requires no signatures														

Daily Redemption Settlement Report for Agent

Payment Date: 22-Aug-2005

Fund Manager:

ALLIANZ GLOBAL INVESTORS SINGAPORE LTD

Trustee : HTSG

Agent Name:

DISTIRBUTOR

Fax No.:

Price Date	Trx#	Pymt Mode	Holder Name	UH#	Units	Fund Ccy	Price	Redemption Proceeds	Trx Type	Inv Type	Sett Basis	FX	Txn Ccy	Amount Paid in Txn Ccy
------------	------	-----------	-------------	-----	-------	----------	-------	---------------------	----------	----------	------------	----	---------	------------------------

Fund Code / Fund Name: DATF: ALLIANZ GLOBAL INVESTORS PREMIER FUNDS – GLOBAL HIGH PAYOUT CLASS AM

15-Aug-05	0320052271400001	T	DISTRIBUTOR ABC	AGIS0001356F	3.58	SGD	1.0790	3.86	RED	Cash	G	1.00000	SGD	3.86
-----------	------------------	---	-----------------	--------------	------	-----	--------	------	-----	------	---	---------	-----	------

Agent Total:			Count: 1		3.58			3.86						
---------------------	--	--	-----------------	--	-------------	--	--	-------------	--	--	--	--	--	--

This is a computer-generated report and requires no signatures

APPENDIX H: CANCELLATION REPORT FOR AGENT (SAMPLE)

Cancellation Report for Agent

Cancellation Date : 30-Aug-2005 to 05-Sep-2005 Fund Manager : TEST FUND MANAGER Agent : TEST AGENT ABC

Fund ID : Fund Name				FBC Unitholder No. Holder Name						Invnt type	
XXXX : TEST FUND XXXX				USD XXXX00000059 TEST UNITHOLDER1						Cash	
Original Trx# Trx Date	Price	NAV	AMTSUB	Units Fund Capital Total FEL			FEL due to Agent FX	TCY	Sett Amount in TCY	Sett Date	Sett Mode
0220052421000102 29-Aug-05	0.5980	0.5688	120,000.00	200,668.89 114,140.46 1,139.80			0.00 1.000000 000	USD	115,440.80	30-Aug-05	N
Cx Trx# Cx Date	Cx Price	Cx NAV	Cx Proceeds	Units Fund Capital Total FEL			FEL due from Agent FX	TCY	Sett Amount in TCY	Loss due to UH	Canx Gain
0320052431000006 30-Aug-05	0.5750	0.5755	115,440.80	200,668.89 115,484.95 1,139.80			0.00 1.000000 000	USD	115,440.80	0.00	1,344.49

Fund ID : Fund Name				FBC	Unitholder No. Holder Name					Invnt type
XXXX : TEST FUND XXXX				USD	XXXX00000007N TEST UNITHOLDER2					Cash
Original Trx# Trx Date	Price	NAV	AMTSUB	Units Fund Capital Total FEL		FEL due to Agent FX	TCY	Sett Amount in TCY	Sett Date	Sett Mode
0220052381000004 25-Aug-05	0.5970	0.5693	33,000.00	55,276.38 31,468.84 313.97		0.00 1.000000 000	USD	31,744.12	26-Aug-05	N
Cx Trx# Cx Date	Cx Price	Cx NAV	Cx Proceeds	Units Fund Capital Total FEL		FEL due from Agent FX	TCY	Sett Amount in TCY	Loss due to UH	Canx Gain
0320052491000102 05-Sep-05	0.5755	0.5755	638.08	1,111.11 639.44 6.31		0.00 1.000000 000	USD	638.08	0.00	6.89

Summary Total

Fund Base Ccy FBC)	Cancellation Proceeds	Fund Capital	FEL due to/from Agent	Loss due to Unitholder
USD	116,078.88	116,124.39	N/A	0.00

Transaction Currency(TCY)	Settlement Amount
USD	116,078.88

Executed By : ulmam

This is a computer-generated report and requires no signature.

7-day canx-htsg-dfo270201.rep

Page 1 of 1

Printed: 26-Sep-2005 04:01 pm
- AGENT

APPENDIX I: STATEMENT OF TRANSACTIONS (SAMPLE)

DISTRIBUTOR
ADDRESS 1
ADDRESS 2
COUNTRY

STATEMENT OF TRANSACTIONS

As at 31-Oct-2005

Registered Holder : OI KAR
Account No. : 030000860

Page 1

Fund Name	Investment Type	Total Units / Shares	Last Available NAV	Market Value
ALLIANZ GLOBAL INVESTORS PREMIER FUNDS – GLOBAL HIGH PAYOUT CLASS AM USD	Cash	724.63	USD 1.3310	USD 964.48
ALLIANZ GLOBAL INVESTORS PREMIER FUNDS – GLOBAL HIGH PAYOUT CLASS AM SGD	CPFOA	1,173.21	SGD 1.008	SGD 1,182.60

This valuation statement reflects all transactions, including reinvestment of dividends where appropriate, as well as purchases where cleared funds or completed documentation has not been received. In the event that payment or completed documentation is not received, the transaction shall be deemed to be cancelled

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Fax +65 63118099
www.AllianzGlobalInvestors.com.sg
Registration No. 199907169Z

SALES COMMISSION REPORT (SAMPLE)

Payment Date Range : 01-Mar-2006 to 31-Mar-2006																	
Fund Manager : ALLIANZ GLOBAL INVESTORS SINGAPORE LTD TRUSTEE: HTSG																	
Fund / Fund Currency : GHPS: ALLIANZGI PREMIER FUNDS - GLOBAL HIGH PAYOUT - CLASS AM SGD																	
Pymt Date	Price Date	Invst Type	Trx#	Holder Name	UH#	Units	Price	Amount Subscribed	Fund Capital	Sales Chrg/Disc	Sale Chrg/ Disc%	Rndg Due to/fm Fund	Net AMC Comm	Net Agent Comm	Net Amount	Trx Type	Sett Basis
AGENT: DISTRIBUTOP ABC																	
10-Mar-06	08-Mar-06	CPFOA	0220060671400033	INVESTOR ABC	ABCS00002196	12,266.95	1.003	12,750.00	12,303.75	446.25	3.50	0.00	63.75	382.50	12,367.50	SUB	G
Invst Type:		SRS		Count: 1		12,266.95		12,750.00	12,303.75	446.25	3.50	0.00	63.75	382.50	12,367.50		
Sett Date:		10-Mar-2006		Count: 1		12,266.95		12,750.00	12,303.75	446.25		0.00	63.75	382.50	12,367.50		
				Count: 1		12,266.95		12,750.00	12,303.75	446.25							

APPENDIX J: DISTRIBUTOR

To: Allianz Global Investors Singapore Limited					
Subject: Distributor Contacts, Communication Mode and Frequency					
Type:	<input type="checkbox"/> New <input type="checkbox"/> Amendment				
Name of Distributor:					
Department:					
Fax No:					
Name:					
Tel No:					
Email address:					
Name of AllianzGI Fund:					
Mode of Transmission:	Order Confirmation & Settlement Report	Nil Order Report from Distributor	Trailer Fee Report	Daily Fund Prices Broadcast	Income Distribution Notification
By fax to:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Not facilitated	Not facilitated
By Email to:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others:	<input type="checkbox"/> By ordinary mail	<input type="checkbox"/> Not applicable	<input type="checkbox"/> By ordinary mail	Not applicable	Not applicable
Subscription Settlement Type:	<input type="checkbox"/> Net of Front End Load <input type="checkbox"/> Gross of Front End Load <input type="checkbox"/> Not applicable				
Trailer Fee Settlement Type:	<input type="checkbox"/> Cash <input type="checkbox"/> Reinvestment <input type="checkbox"/> Not applicable				
Trailer Fee Settlement Frequency:	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Not applicable				
Remarks: (if any)					
The above shall remain in force until otherwise advised by us.					
<p>.....</p> <p>Authorised signatories</p>					

APPENDIX K: ALLIAZNGI SG & REGISTRAR

1. AllianzGI SG

A. Client Servicing Team

Full Corporate Name:	Allianz Global Investors Singapore Limited
Postal Address:	79 Robinson Road, #09-03 Singapore 068897
Hotline No:	1800 438 0828 / (+65) 6438 0828 / (+65) 6311 8000
Email:	sgenquiry@allianzgi.com

B. Operations Team

Fax no.	Hong Kong (+852) 2877 2566
Name:	Ms Maria Ho / Ms Mable Chan
Tel No:	Hong Kong (+852) 2238 8834 / 2238 8943
Email:	UTDealing_SG@allianzgi.com

(Updated on 4 Jan 2021)

2. Registrar

Full Corporate Name: HSBC Institutional Trust Services (Singapore) Ltd – Transfer Agency Unit
Postal Address: 20 Pasir Panjang Road (East Lobby), #12-21 Mapletree Business City
Singapore 117439
Fax No.: Singapore (+65) 6424 4733
Group Email: gdta.tp.team3.sg@hsbc.com.sg

Primary Contact for Daily Trade Processing and Reporting Queries

Name: Ms Sharel Chee
Tel No.: (+65) 6658 3209
Email: sharel.chee@hsbc.com.sg
Fax No.: (+65) 6424 4733

Contact for Escalation

Name: Ms Jaya Cumaraswamy (1st escalation)
Tel No.: (+65) 6658 0416
Email: jayacumaraswamy@hsbc.com.sg
Fax No.: (+65) 6424 4733

Name: Ms Angeline Zeng (2nd escalation)
Tel No.: (+65) 6658 0413
Email: angelinezeng@hsbc.com.sg
Fax No.: (+65) 6424 4733

(Updated on 10 Apr 2023)